



Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3195/2021/২৩

By Special Messenger

Dated: January 05, 2021

Apex Investments Limited
(DSE TREC No. 007)
House # 06 (4th Floor, East Side)
Road # 137, Block # SE(D), Gulshan-1, Dhaka-1212

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Dw (MIS)

Attention: Managing Director/CEO

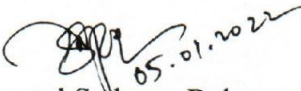
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3195/2021/1098 dated December 09, 2021 based on which the hearing was conducted on December 20, 2021.

The Commission, considering your explanation vide letter dated 20.12.2021 has decided to dispose of the proceedings against Apex Investments Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


Muhammad Sadequr Rahman Bhuiyan
Assistant Director (Enforcement)
E-mail: msrahman@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
- ~~3. PO to Executive Director (MIS), BSEC.~~
4. Managing Director, Dhaka Stock Exchange Ltd.