

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2528/2018/535

Dated: April 03, 2022

By Special Messenger

Arena Securities Limited (DSE TREC No. 25)  
Modhumita Building (2nd floor)  
160, Motijheel C/A, Dhaka-1000.

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No.BSEC/Enforcement/2528/2018/457 dated March 14, 2022 based on which the hearing was conducted on March 28, 2022.

The Commission, considering your explanation vide letter dated 27.03.2022 has decided to dispose of the proceedings against Arena Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Rubel Hossain  
Assistant Director (Enforcement)  
E-mail: rubel@sec.gov.bd  
Mobile : 01922-151361.

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Chittagong Stock Exchange Ltd.