

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3230/2021/ 105

January 16, 2022

By Courier Service

Asenz Securities Limited  
(DSE TREC No. 065)  
Room # 729, 9/E  
Motijheel C/A, Dhaka-1000.

Attention: Managing Director/CEO

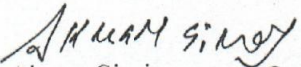
**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3230/2021/1177 dated December 19, 2021 based on which the hearing was conducted on December 26, 2021.

Considering the explanation vide ASL/BSEC/2021 dated December 22, 2021 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against Asenz Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

  
Akram Siraj 16.01.2022  
Assistant Director (Enforcement)  
E-mail: [akram@sec.gov.bd](mailto:akram@sec.gov.bd)

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O to Executive Director (SRI), BSEC
3. P.O. to Director (MIS), BSEC
4. Managing Director, DSE