



# বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ।

**BSEC/Enforcement/2623/2018/1112**

**By Special Messenger**

August 22, 2022

Azam Securities Limited  
(DSE TREC No. 019)  
Room # 726 (6th Floor)  
9/E, Motijheel C/A Dhaka-1000

**Attention:** Managing Director/CEO

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2623/2018/994 dated July 19, 2022 based on which the hearing was conducted on August 07, 2022.

The Commission, considering your explanation vide letter dated August 07, 2022 has decided to dispose of the proceedings against Azam Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

*Mahmuda Shireen*

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**Mahmuda Shireen**  
Deputy Director (Enforcement)  
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**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited