

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

BSEC/Enforcement/3087/2021/ ৪২২  
Dated: October 21, 2021

By Special Messenger

BASE CAPITAL LTD.  
(CSE TREC No. 040)  
Idris Building  
928/A Hazi Amir Ali Chy. Road  
Khatungonj, Chattogram.

Attention: Managing Director/CEO

01 NOV 2021  
DJ MIS

**Subject: Non-compliance of securities related laws: Disposal of the alleged matter.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3087/2021/593 dated September 1, 2021 based on which the hearing was conducted on 20.09.2021.

The Commission, considering your explanation vide letter dated 20<sup>th</sup> September, 2021 has decided to dispose of the matter of BASE CAPITAL LTD.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

*[Signature]*  
21.10.2021

**Mohammad Al Masum Mirdha**  
Additional Director (Enforcement)  
Phone : 55007147  
Email: almasum@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. ~~PO~~ PO to Executive Director (MIS), BSEC
4. Managing Director, Chittagong Stock Exchange Ltd