



# বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ।

BSEC/Enforcement/2837/2019/ 330

Dated: 18 June, 2020

**By Special Messenger**

BDBL Securities Ltd.  
CSE TREC Holder # 078  
BDBL Bhaban (Level-16),  
12 Kawran Bazar C/A  
Dhaka.-1215.

**Attention:** Managing Director/CEO

**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/2837/2019/453 dated September 22, 2019 based on which the hearing was conducted on October 21, 2019.

The Commission, considering your explanation vide letter ref. no. BSL/08/1115 dated 20.10.2019 has decided to dispose of the proceedings against BDBL Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

  
**Mohammad Al Masum Mirdha**  
Deputy Director (Enforcement)  
Phone : 55007147  
Email: almasum@sec.gov.bd

Copy for information:

1. Managing Director, Chittagong Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
- ✓ 4. PO to Executive Director (MIS), BSEC.