

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3557/2024/499

Dated: June 02, 2024

01. BDV Asset Management Company Limited (AMC), Haque Plaza (3rd Floor), 26 Kamal Ataturk Avenue, Boanani Commercial Area, Dhaka-1213.
02. Mr. Shawkat Hossain (ex-MD), BDV Asset Management Company Limited (AMC), Haque Plaza (3rd Floor), 26 Kamal Ataturk Avenue, Boanani Commercial Area, Dhaka-1213.
03. Mr. Abed Ahmed (ex-MD), BDV Asset Management Company Limited (AMC), Haque Plaza (3rd Floor), 26 Kamal Ataturk Avenue, Boanani Commercial Area, Dhaka-1213.
04. Mr. Rayhan (present MD & CEO), BDV Asset Management Company Limited (AMC), Haque Plaza (3rd Floor), 26 Kamal Ataturk Avenue, Boanani Commercial Area, Dhaka-1213.
05. Mr. Nazrul (ex-CO), BDV Asset Management Company Limited (AMC), Haque Plaza (3rd Floor), 26 Kamal Ataturk Avenue, Boanani Commercial Area, Dhaka-1213.
06. Mr. Imran (present-CO), BDV Asset Management Company Limited (AMC), Haque Plaza (3rd Floor), 26 Kamal Ataturk Avenue, Boanani Commercial Area, Dhaka-1213.

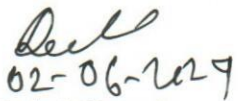
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3557/2024/388 dated 30 April 2024 based on which the hearing was conducted on 19 May 2024.

The Commission, considering your explanation vide letter ref. BDVAMC/COM/2024/05/04 dated 15.05.2024 has decided to dispose of the proceedings against BDV Asset Management Company Limited (AMC) and the concerned officers of BDV Asset Management Company Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


02-06-2024
Rubel Hossain
Assistant Director (Enforcement)
E-mail: rubel@sec.gov.bd
Mobile : 01922-151361

Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; with reference to BSEC Order no. BSEC/SMMID/AMC/2021/703 dated 28th November 2023.
3. PO to Executive Director (ICTD), BSEC.