





Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3258/2022/458

Dated: March 14, 2022

BDV Asset Management Company Limited Delta Dahlia, House # 36, Flat # 6B Kemal Ataturk Avenue, Banani, Dhaka-1213

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3258/2022/348 dated February 23, 2022 based on which the hearing was conducted on March 07, 2022.

The Commission, considering your explanation vide letter Ref. No: BDVAMC/COM/2022/03/04 dated March 06, 2022 has decided to dispose of the proceedings against BDV Asset Management Company Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen
14.63.22

Mahmuda Shireen
Deputy Director (Enforcement)
E-mail: shireen@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC

2. P.O.to Executive Director (SRI), BSEC

3. P.O to Executive Director (MIS), BSEC