



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
সিকিউরিটিজ কমিশন ভবন
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭
www.sec.gov.bd



BSEC/Enforcement/2983/2020 / 177
Dated: March 10, 2021

By Special Messenger

BD Finance Securities Limited
(DSE TREC Holder No. 30)
Plot 64 (2nd Floor),
Motijheel C/A,
Dhaka 1000.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement /2983/2020/1163 dated December 29, 2020 based on which the hearing was conducted on 11.01.2021.

The Commission, considering your explanation vide letter ref. No. BDFSL/HO/NOTICE/2021/021 dated January 10, 2021 has decided to dispose of the proceedings against BD Finance Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Al Masum Mirdha
10.03.2021

Mohammad Al Masum Mirdha

Deputy Director (Enforcement)

Phone : 55007147

Email: almasum@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.