



BANGLADESH SECURITIES AND EXCHANGE COMMISSION
Securities Commission Bhaban
E-6/C Agargaon, Sher-e-Bangla Nagar
Administrative Area, Dhaka 1207, Bangladesh



BSEC/Enforcement/2491/2018/09
January 04, 2021

By Courier Service

BD Finance Securities Limited
DSE TREC Holder No.30
64, Motijheel C/A, (2nd Floor)
Dhaka-1000

Attention: Managing Director/CEO

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2491/2018/919 dated November 23, 2020 based on which the hearing was conducted on December 7, 2020.

Considering the explanation vide letter No. BDFSL/HO/COM/2020/520 dated December 01, 2020 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the BD Finance Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md. Yusuf Bhuiyan
Deputy Director (Enforcement)
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Copy for information:

1. P.S to Chairman, BSEC
2. P.O. to Commissioner (Enf.), BSEC
3. P.O. to Executive Director (Enf.), BSEC
4. P.O to Executive Director (SRI), BSEC

“মুজিববর্ষের অঙ্গীকার উন্নয়ন-অর্থাৎ উৎস হবে পুঁজিবাজার”