

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2995/2021/ 1 ৫০  
January 16, 2022

By Courier Service

BD Finance Securities Limited  
Plot # 64 (2nd Floor),  
Motijheel C/A  
Dhaka-1000

Attention: Managing Director/CEO

**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2995/2021/855 dated October 12, 2021 based on which the hearing was conducted on October 28, 2021.

Considering the explanation vide letter No. BDFSL/HO/COMPLIANCE/2021/0779 dated October 26, 2021 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against BD Finance Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

*Akram Siraj*  
Akram Siraj 16.01.2022  
Assistant Director (Enforcement)  
E-mail: akram@sec.gov.bd

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O to Executive Director (SR1), BSEC
3. P.O. to Director (MIS), BSEC
4. Managing Director, DSE