

**Bangladesh Securities and Exchange Commission**  
**Enforcement Division**  
**Enforcement Department**  
**Market, Market Intermediaries and Other Affairs Section**  
[www.sec.gov.bd](http://www.sec.gov.bd)

BSEC/Enforcement/3824/2024/347  
Dated: March 04, 2025

By Special Messenger/Courier

BD Sunlife Securities Ltd.  
(DSE TREC Holder No# 248)  
Samabaya Bank Bhaban (8th Floor).  
9/D, Motijheel C/A, Dhaka-1000.

Mr. Rahat Maleque  
MD, CEO and Compliance Authority  
BD Sunlife Securities Ltd.  
(DSE TREC Holder No# 248)  
Samabaya Bank Bhaban (8th Floor).  
9/D, Motijheel C/A, Dhaka-1000.

**Attention: Managing Director/CEO/Alleged Person**

**Subject: Warning to ensure compliance of all securities related laws in future**

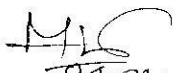
This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3824/2024/197 dated February 02, 2025 based on which the hearing was scheduled on February 10, 2025 and the hearing was conducted as per schedule.

The Commission, considering your degree of non-compliances vide letter reference no# BSSI/BSEC/Enforcement/2025/00008 dated February 09, 2025, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

You are hereby advised to follow up individual job responsibility of the employee and make them responsible about lapse found in the show cause letter.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission.



04.03.2025

**Md. Mahmudul Hasan**  
Assistant Director (Enforcement)  
Email: mahmudulhasan@sec.gov.bd

**Copy for information:**

PS to Chairman, BSEC.

PO to Commissioner (All), BSEC.

PO to Executive Director (MIAD), BSEC. With reference to SMMI IDM no# BSEC/SMMID/DSE#153/2023/116/1087 dated November 22, 2023.

✓ PO to Executive Director (ICTD), BSEC.

Managing Director, Dhaka Stock Exchange PLC. with reference no# DSE/MCD/BSEC/2024/019/2446 dated April 23, 2024.