

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2668/2018/ 99
January 17, 2022

By Courier Service

BD Sunlife Securities Limited
(DSE TREC holder no. 248)
Samabaya bank Bhaban (8th floor)
9/D, Mothijheel C/A
Dhaka – 1000

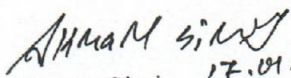
Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2668/2018/729 dated September 26, 2021 based on which the hearing was conducted on October 04, 2021.

Considering the explanation vide letter No. BSEC/Enforcement/2668/2018/729 dated October 04, 2021 submitted at the time of hearing, the Commission has decided to dispose of the proceedings BD Sunlife Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.
Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission


Akram Siraj
Assistant Director (Enforcement)
E-mail: akram@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Executive Director (SRI), BSEC
3. P.O. to Director (MIS), BSEC
4. Managing Director, DSE