

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3221/2021/ ৪০

By Courier Service

Dated: January 12, 2022

BLI Securities Limited (DSE TREC# 175)
Rangs Panorama, Office Space # A-5 (5th Floor), 780 (Old), 80 (New)
Shatmosjid Road, Dhanmondi
Dhaka-1209

16.01.2022
DD-1 (MIS)

Attention: Managing Director/CEO

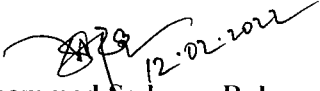
Subject: Warning to Ensure Compliance of All Securities Related Laws in Future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3221/2021/1182 dated December 19, 2021 and rescheduled notice no. BSEC/Enforcement/3221/2021/39 dated January 03, 2022 based on which the hearing was conducted on January 10, 2022.

The Commission, considering the explanation vide letter no. Nil dated January 10, 2022 which was submitted at the time of hearing, has decided to dispose of the proceedings against BLI Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


Muhammad Sadequr Rahman Bhuiyan
Assistant Director (Enforcement)

Copy for information:

Chairman's Office, BSEC
Managing Director, Dhaka Stock Exchange Ltd.
Executive Director (SRI), BSEC
Executive Director (MIS), BSEC