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Bangladesh Securities and Exchange Commission  
Enforcement Division  
Enforcement Department  
Market, Market Intermediaries and Other Affairs Section  
[www.sec.gov.bd](http://www.sec.gov.bd)

**BSEC/Enforcement/3634/2024/661**  
**Dated: August 12, 2024**

**By Special Messenger**

01. **BRAC EPL Stock Brokerage Limited (DSE TREC # 011)**, Symphony Building (3rd & 6th Floor, West Block), Plot # SE (F) 9, Road # 142, South Avenue, Gulshan-1, Dhaka-1212
02. **Mr. Ahsanur Rahman, CEO and Compliance Authority** of BRAC EPL Stock Brokerage Limited (DSE TREC # 011), Symphony Building (3rd & 6th Floor, West Block), Plot # SE (F) 9, Road # 142, South Avenue, Gulshan-1, Dhaka-1212.
03. **Mr. Kumaresh Saha, Compliance Officer** (Head Office) of BRAC EPL Stock Brokerage Limited (DSE TREC # 011), Symphony Building (3rd & 6th Floor, West Block), Plot # SE (F) 9, Road # 142, South Avenue, Gulshan-1, Dhaka-1212.
04. **Mr. A. G. M. Kaiser, Branch In-Charge**, Agrabad, Chattogram Branch, BRAC EPL Stock Brokerage Limited (DSE TREC # 011), Symphony Building (3rd & 6th Floor, West Block), Plot # SE (F) 9, Road # 142, South Avenue, Gulshan-1, Dhaka-1212.
05. **Mr. S M Arman Hasan, Branch Compliance Officer**, Agrabad, Chattogram Branch, BRAC EPL Stock Brokerage Limited (DSE TREC # 011), Symphony Building (3rd & 6th Floor, West Block), Plot # SE (F) 9, Road # 142, South Avenue, Gulshan-1, Dhaka-1212.


**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/3634/2024/582 dated 10 July 2024 based on which the hearing was conducted on 28 July 2024.

The Commission, considering your explanation vide letter ref. BESL-HO/ICC-2445 dated 28.07.2024 has decided to dispose of the proceedings against you/your's by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

  
12-08-2024

Rubel Hossain  
Assistant Director (Enforcement)  
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Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; with reference to DSE letter no. DSE/MCD/BSEC/2024/2631 dated 07<sup>th</sup> May 2024.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange PLC.