

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2978/2020/487  
Dated: March 23, 2022

By Special Messenger

BRB Securities Limited  
TREC Holder Number (DSE# 220)  
House #10/B, Road # 06,  
Dhanmondi, Dhaka-1205.

**Attention: Managing Director/CEO**

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2978/2020/378 dated February 27, 2022 based on which the hearing was conducted on March 14, 2022.

The Commission, considering your explanation vide letter dated 13.03.2022 has decided to dispose of the proceedings against BRB Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

23.03.2022

Md. Mahmudul Hasan  
Assistant Director-v (Enforcement)  
Mobile: 01515292195  
Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.