Bangladesh Securities and Exchange Commission Securities Commission Bhaban E-6/C, Agargaon

Sher-e-Bangla Nagar Administrative Area Dhaka-1207, Bangladesh.

BSEC/Enforcement/ 2370/2017/166 Date: June 07, 2017

By Courier Service

B & B Enterprise TREC holder no. 34 Room # 718, (6th floor) Stock Exchange Building, 9/F, Motijheel, Dhaka-1000

Attention: Managing Director/CEO

Sub: Non-compliance of securities laws: -Warning.

This refers to the Commission's show cause cum hearing rotice no. BSEC/Enforcement/ 2370/2017/149 dated May 08, 2017 based on which the hearing was conducted on May 15, 2017.

The Commission, considering the explanation vide letter No. B&B/034/2017/500 dated May 15, 2017, has decided to dispose of the proceedings against B & B Enterprise by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission.

Md. Mustafizur Rahman Assistant Director

Copy for information:
Managing Director, Dhaka Stock Exchange Ltd.
Executive Director (SRI), BSEC
Executive Director (R&D), BSEC
Chairman's Office, BSEC