



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন  
সিকিউরিটিজ কমিশন ভবন  
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭  
www.sec.gov.bd



**BSEC/Enforcement/2831/2019/262**  
**Dated: April 08, 2021**

**By Special Messenger**

Bulbul Securities Limited  
(DSE TREC No. 032)  
Room # 705, Stock Exchange Building, 9/F,  
Motijheel C/A, Dhaka - 1000.

**Attention: Managing Director/CEO**

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement /2831/2019/459 dated September 22, 2019 based on which the hearing was conducted on 21.10.2019.

The Commission, considering your explanation vide letter ref. No. BSL/Letter/2019/22 dated 20.10.2019 and subsequent letter ref. No. BSL/Letter/2019/22 dated 21.10.2019 has decided to dispose of the proceedings against Bulbul Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

*Al Masum Mirdha*  
08.04.2021

**Mohammad Al Masum Mirdha**  
Grade 5 Official (Enforcement)  
Phone : 55007147  
Email: almasum@sec.gov.bd

**Copy for information:**

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.

*O/c*