

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/2955/2020/324
Dated: February 17, 2025

By Special Messenger/Courier

Bali Securities Limited (Present name Skyline Securities Ltd.)
(DSE TREC Holder No# 153)
Room Number #637 & 638 (5th Floor),
9/E Motijheel C/A, Dhaka-1000.

Attention: Managing Director/CEO/Alleged Person

Subject: Warning to ensure compliance of all securities related laws in future

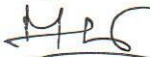
This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2955/2020/63 dated January 12, 2025 and subsequent reschedule notice No. BSEC/Enforcement/2955/2020/166 dated January 23, 2025 based on which the hearing was scheduled on January 20, 2025 and February 03, 2025 as well as the hearing was conducted on February 03, 2025.

The Commission, considering your degree of non-compliances vide letter reference no# SLSL/34/2025/05 dated February 03, 2025, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

You are hereby advised to follow up individual job responsibility of the employee and make them responsible about lapse found in the show cause letter.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


17.02.2025

Md. Mahmudul Hasan
Assistant Director (Enforcement)
Email: mahmudulhasan@sec.gov.bd

Copy for information:

PS to Chairman, BSEC.


PO to Commissioner (All), BSEC.

PO to Executive Director (MIAD), BSEC. With reference to SMMI IDM no# BSEC/SMMID/DSE#153/
2023/116/1087 dated November 22, 2023.

PO to Executive Director (ICTD), BSEC.

27.2.25

D/DO(MIS)


02.03.25

Mr. Niloy AD