



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

জীবন বীমা টাওয়ার (১৫, ১৬, ১৭ ও ২১তম তলা), ১০ দিলকুশা বা/এ, ঢাকা-১০০০, বাংলাদেশ।

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2437/2017/248

By courier

July 11, 2018

1. Bank Asia Limited: Rangs Tower, 68 Purana Paltan, Dhaka -1000
2. Directors, Bank Asia Limited: Rangs Tower, 68 Purana Paltan, Dhaka -1000
3. Managing Director, Bank Asia Limited: Rangs Tower, 68 Purana Paltan, Dhaka -1000
4. Company Secretary Bank Asia Limited: Rangs Tower, 68 Purana Paltan, Dhaka -1000

Attn: Managing Director

Subject: Warning: Non compliance with the Securities laws in connection with Price Sensitive Information

Dear Sir,

This refers to BSEC's show cause-cum-hearing notice no. BSEC/Enforcement/2437/2017/173 dated April 12, 2018 and the accused's explanation letter no. BA/CO/SD/2018/ 1946 dated April 23, 2018 submitted to the Commission.

The Commission considering the accused's apology and promise to be complied in future, has decided to dispose off the proceedings against them by placing on record the Commission's dissatisfaction on the defaults/contraventions made by them with a warning to ensure compliance of all securities related laws in future.

This disposal does not absolve Bank Asia Limited, its Directors, Managing Director and Company Secretary from their lawful responsibilities/obligations to any person, if affected as a result of the aforesaid default.

For Bangladesh Securities and Exchange Commission

Mustari Jahan
Deputy Director (Enforcement)
Phone : 55007137
Email : mustari@sec.gov.bd

Distribution:

Managing Director, Dhaka Stock Exchange Limited
Managing Director, Chittagong Stock Exchange Limited

Copy for information:

P.O to Commissioner (Enforcement), BSEC
P.O to Executive Director (SRMIC), BSEC
P.O to Executive Director (MIS), BSEC
Chairman's office, BSEC