

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/4100/2026/115
Dated: February 15, 2026

By Special Messenger

Bank Asia Securities Limited
Hadi Mansion (2nd Floor)
2, Dilkusha C/A, Dhaka-1000.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/4100/2026/48 dated 13 January 2026, based on which the hearing was conducted on 02 February 2026.

Subsequently, you have submitted your replies vide letter ref: BASL/CO/Show-cause/2026/46 dated February 02, 2026 where you mentioned your compliance status.

The Commission, considering your degree of non-compliances, has decided to warn you to be careful in future and also suggested to be cautious about maintaining due diligence related to securities related laws. Any divergence of securities related laws and failing of lawful responsibilities will be taken seriously whereas you will be brought under appropriate enforcement action.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,



15-02-2026

Rubel Hossain
Assistant Director (Enforcement)

Copy for information:

1. Chairman Office, BSEC.
2. P.O. to all Commissioner (Enforcement), BSEC.
3. PO to Executive Director (MIAD), BSEC; with reference to BSEC IDM no. BSEC/IEID/Inspection/2024 (Part-III)/94/1252 dated 06th August 2025.
- ✓ 4. PO to Executive Director (ICTD), BSEC.


19.02.2026

Mr. Niloy, AD