

we wi P wj wgtUW  
wmGmB m`m` bs-027  
wm A`vU Gd UvI qvi (10g Zj v)  
1222, tkL gmRe ti vW  
AvMtev`, PUMog |

welq: Avf`k |

evsj v`k wmwKDwi wJR A`vU G. tPA Kwgk`bi 05 gvP©, 2014 Bs Zwi tLi Avf`k bs-  
GmBwm/GbtdvmfgU/1134/2013/109 Gi mZ`wqZ Abijj w Avcbvi AeMwZ I c@qvRbxq e`e`v  
Mh`bi Rb` GZ` tntM mshy` Kiv ntj v |

evsj v`k wmwKDwi wJR A`vU G. tPA Kwgk`bi ctq |,

Avej Kvj vg AvRv`  
Dc-cwi Pvj K  
GbtdvmfgU wfvM  
tdvb: 9573914

wEZi bt

ca`vb wbe`fx KgRZP, PÆMog óK G. tPA wj wgtUW |

m`q AeMwZi Rb` Abijj w:

- 1 | tPqvi g`vb gtnv` tqi ` Bi , weGmBwm |
- 2 | wbe`fx cwi Pvj K, AvBb wfvM, weGmBwm |
- 3 | wbe`fx cwi Pvj K, Gm Avi AvB wfvM, weGmBwm |
- 4 | wbe`fx cwi Pvj K, GgAvBGm wfvM, weGmBwm |

Avt`k

thtnZi Kugkb, we wi P wj wgtUW (mGmB m`m` bs-27) tK Zvi Avt`bwtg, ubaWi Z kZfaxtb wmiKDwi uJR μq weμq KgKvE cwi Pj bv Kivi Rb` evsj vt`k wmiKDwi uJR AvU G. tPA Kugkb (AZ:ci 0Kugkb0 etj Duj wZ) wmiKDwi uJR I G. tPA Kugkb AvBb, 1993 (1993 m`bi 15 bs AvBb) Gi 10(1) G c0 E tlgZvetj wmiKDwi uJR I G. tPA Kugkb (÷K-wj vi, ÷K-tetKvi I Abtjw` Z c0Zibwa) weagvj v, 2000 Gi wea 5(5) mn c0wZ ÷KtetKvi /wji vi ti wRtokb m0w0ct0KU c0 vb Kti t0;

thtnZi Kugkb Gi Avt`k bs SEC/SRI/INS/CSE-MEM/2010/730 dated 14.03.2013 Gi gva`tg we wi P wj wgtUW G cwi`k`bi Rb` GKiu Kuglu MwZ nq Ges D<sup>3</sup> cwi`k0 Kuglu i`wLj KZ c0Zte`b Abtjwqx findings and contraventions w0g0e/C:

**Quote: “**

- A. As per Section 8A of Securities and Exchange Rules, 1987, “every member shall maintain a consolidated customers’ account in his name with any schedule bank” but Be Rich Limited is maintaining 23 consolidated customer bank accounts which is mentioned as follows:

Sl. No.	Name of the Bank	A/C No.
1.	Bank Asia Ltd.	533006189
2.	Bank Asia Ltd.	1833000764
3.	Bank Asia Ltd.	1336001955
4.	Bank Asia Ltd.	536001684
5.	Bank Asia Ltd.	4636000009
6.	Bank Asia Ltd.	4933000001
7.	Bank Asia Ltd.	3436000027
8.	Bank Asia Ltd.	2736000026
9.	Brac Bank Ltd.	110-12017-101530001
10.	Brac Bank Ltd.	110-12007-74280001
11.	The City Bank Ltd.	110-11402-78001
12.	HSBC Bank	004-055166-012
13.	DBBL	102.110.23988
14.	National Bank Ltd.	333002011
15.	National Bank Ltd.	36000053
16.	SIBL	421330002286
17.	SIBL	42132-0237
18.	SIBL (GEC) STD	421360000265
19.	Standard Bank Ltd.	0293302884
20.	Standard Bank Ltd.	02936-127
21.	One Bank Ltd.	0345301492008
22.	One Bank Ltd.	0340301492006
23.	Bank Asia Ltd.	18360001777

**Contravention:** The aforesaid activity i.e. maintaining 23 (Twenty Three) Customer Consolidated Bank Account is a violation of Section 8A of Securities and Exchange Rules, 1987.



**Point – B**

Cash received more than Taka 5 Lacs :

Actually, mentioned amounts are Deposited by our Investors Directly to our Bank Accounts for Buying Shares at same day.

Our Accounts Department Wrongly Posted these as ‘Cash Receive’ as they were not fully aware of Laws relating to this.

However, we have already corrected the Accounting Procedures and right at this moment, we are not receiving any Single amount Cash violating the Laws.

Hope you understand the above and requesting you not to take any serious actions for these.”

**Unquote.**

তহনZi we wi P wj wgtUW Gi wj wLZ e<sup>3</sup>e<sup>3</sup> t<sub>1</sub>K my<sup>3</sup>úó cLZxqgvb nq th, i bvbxi tbnUtKi AwfthvMmgra we wi P wj wgtUW t<sub>1</sub>Kvi Kti tQ hv B<sup>3</sup>QvKZ Ges wel q<sub>3</sub> t<sub>1</sub>j v wnikDwi uJR AvBb cwi c<sub>1</sub>j t<sub>1</sub>b e<sup>3</sup> v weavq section 22 of Securities and Exchange Ordinance, 1969 Abhvqx kw<sup>3</sup> thvM<sup>3</sup> Aci va Ges hv t<sub>1</sub>gvi AthvM<sup>3</sup>;

তহনZi Kwgk<sub>1</sub>bi we<sub>1</sub>tePbvq, wnikDwi uJR AvBb cwi c<sub>1</sub>j t<sub>1</sub>b D<sub>1</sub>ij weZ e<sup>3</sup> v<sub>1</sub> Rb<sup>3</sup>, Z<sub>1</sub>v c<sub>1</sub>gReivR<sub>1</sub>t<sub>1</sub>i Dbq<sub>1</sub>t<sub>1</sub>bi c<sub>1</sub>vk<sub>1</sub>cnk evR<sub>1</sub>t<sub>1</sub>i k<sub>1</sub>sLj v l<sub>1</sub> t<sub>1</sub>QZv i t<sub>1</sub>gvi t<sub>1</sub>te<sup>3</sup> t<sub>1</sub>teKvi /w<sub>1</sub>j vi t<sub>1</sub>K Rwi g<sub>1</sub>vb Kiv c<sub>1</sub>QvRb l<sub>1</sub> mgxPxb;

AZGe, তহনZi, Kwgkb, D<sub>1</sub>ij weZ hveZxq wel q we<sub>1</sub>tePbvce<sup>3</sup>, Securities and Exchange Ordinance, 1969 (Ordinance No. XVII of 1969) Gi section 22 [hv The Securities and Exchange (Amendment) Act, 2000 Øvi v mst<sub>1</sub>knaz] G c<sub>1</sub>Ø E<sup>3</sup> t<sub>1</sub>gZve<sub>1</sub>t<sub>1</sub>j we wi P wj wgtUW Gi Dci 01 (GK) j t<sub>1</sub>g UvKv Rwi g<sub>1</sub>vb avh<sup>3</sup> Kij hv A<sub>1</sub>t<sub>1</sub> Av<sub>1</sub>t<sub>1</sub> t<sub>1</sub>ki Zwi l<sub>1</sub> n<sub>1</sub>t<sub>1</sub>Z 15 (c<sub>1</sub>t<sub>1</sub>bi) w<sub>1</sub> t<sub>1</sub>bi g<sub>1</sub>ta<sup>3</sup> Øevj v<sub>1</sub>t<sub>1</sub> k wnikDwi uJR A<sub>1</sub>v<sub>1</sub>Ü G<sub>1</sub> t<sub>1</sub>PÄ Kwgkb<sub>1</sub> Gi AbK<sub>1</sub>t<sub>1</sub>j BmjKZ e<sup>3</sup> v<sub>1</sub>sK W<sub>1</sub>du/t<sub>1</sub>c- A<sub>1</sub>w<sub>1</sub>Ø<sub>1</sub>i i g<sub>1</sub>va t<sub>1</sub>g Kwgk<sub>1</sub>t<sub>1</sub>b Rg<sub>1</sub> Ki t<sub>1</sub>Z n<sub>1</sub>te |

e<sub>1</sub>v<sub>1</sub>s<sub>1</sub>j v<sub>1</sub>t<sub>1</sub> k wnikDwi uJR A<sub>1</sub>v<sub>1</sub>Ü G<sub>1</sub> t<sub>1</sub>PÄ Kwgk<sub>1</sub>t<sub>1</sub>bi Av<sub>1</sub>t<sub>1</sub> k<sub>1</sub>µtg,

Aa<sup>3</sup>vcK W: Gg Lvqi t<sub>1</sub>j t<sub>1</sub>nv<sub>1</sub>t<sub>1</sub>mb t<sub>1</sub>Pqvi g<sub>1</sub>vb

weZi bt  
we wi P wj wgtUW  
wmGmB m<sup>3</sup> m<sup>3</sup> bs-027  
wm A<sub>1</sub>v<sub>1</sub>Ü Gd Uvl qvi (10g Zj v)  
1222, t<sub>1</sub>kL g<sub>1</sub>Re t<sub>1</sub>i wW  
Av<sub>1</sub>M<sub>1</sub>ev<sup>3</sup>, PUM<sub>1</sub>g |