

BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/1174/2013/330 Dated: November 08, 2017

By Special Messenger

Mr. Md. Neamul Kabir, Client of Be Rich Limited, Be Rich Limited C & F Tower (9th floor) 1222, Sk. Mujib Road Agrabad, Chittagong.

Attention: Managing Director/CEO

Sub: Non-compliance of securities related laws. WARNING

This refers to the commission's penalty order no. SEC/Enforcement/1174/2013/587 dated August 31, 2014.

The Commission, considering your review petition vide letter dated August 02, 2017 under section 26 of the Securities and Exchange Ordinance, 1969. In this connection, the Commission has decided to waive the penalty in the penalty which has imposed vide Commission's order no. SEC/Enforcement/1174/2013/587 dated August 31, 2014 against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

Hafiz Mohammed Harunur Rashid

Deputy Director

Managing Director/CEO, Be Rich Limited (with a request to send the notice to the client Mr. Md. Neamul Kabir, Client of Be Rich Limited)

Copy for information:

Commissioner (Enforcement), BSEC Executive Director (SRI), BSEC Executive Director (Law), BSEC Executive Director (MIS), BSEC

Chairman's Office

b. R.17

2 (MIS)

Sent A Mr. Gover. MD-F