

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3463/2023/274
Dated: March 20, 2024

By Special Messenger/Courier

1. Be Rich Limited
(DSE TREC Holder No: 286)
C&F Tower, 9th Floor (East Block),
1222, SK. Mujib Road, Agrabad,
Chittagong.
2. Mr. Kazi Rafiqul Hasan
MD & TREC Representative
Be Rich Limited
(DSE TREC Holder No: 286)
C&F Tower, 9th Floor (East Block),
1222, SK. Mujib Road, Agrabad,
Chittagong.
3. Mr. S. M Ibraz Ibna Emdad
Accounts Incharge
Be Rich Limited
(DSE TREC Holder No: 286)
C&F Tower, 9th Floor (East Block),
1222, SK. Mujib Road, Agrabad,
Chittagong.
4. Mr. Mohammad Tariqul Islam
Compliance Officer
(DSE TREC Holder No: 286)
C&F Tower, 9th Floor (East Block),
1222, SK. Mujib Road, Agrabad,
Chittagong.

Attention: Managing Director/CEO/Alleged Person

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3463/2023/596 dated August 13, 2023 and BSEC/Enforcement/3463/2023/624 dated August 27, 2023 based on which the hearing was conducted on September 03, 2023.

The Commission, considering your adjustment of irregularities vide your letter dated 07.09.2023 and the letter of DSE dated 11.12.2023 has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


20.03.2024
Md. Mahmudul Hasan
Assistant Director (Enforcement)
Mobile: 01515292195
Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SMMID), BSEC. With reference to memo no. BSEC/SMML/DSE-286/2022/128 Dated: July 16, 2023.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange PLC. With reference no. DSE/MCD/BSEC/2023/3011 Dated: May 25, 2023.
05. Complainant Mr. Saifuddin Ahmed Chowdhury (BO ID# 1204180007969747), Mr. Md. Iqbal Hossain (BO ID# 1204180032153340), Mr. Md. Sibli Normane (BO ID# 1204180031918673)