## **Bangladesh Securities and Exchange Commission**

Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3479/2023/68 Dated: January 31, 2024

#### 1. Be Rich Limited

(DSE TREC # 286)

C&F Tower, 9th Floor (East Block), 1222, Sk. Mujib Road, Agrabad, Chittagong

## 2. Mr. Kazi Rafiqul Hasan, Managing Director/CEO

Be Rich Limited

C&F Tower, 9th Floor (East Block), 1222, Sk. Mujib Road, Agrabad, Chittagong

# 3. Mr. Mohammad Tariqul Islam, Compliance Officer

Be Rich Limited

C&F Tower, 9th Floor (East Block), 1222, Sk. Mujib Road, Agrabad, Chittagong

### 4. Mr. S. M. Ibraz Ibna Emdad, Accounts In-charge

Be Rich Limited

C&F Tower, 9th Floor (East Block), 1222, Sk. Mujib Road, Agrabad, Chittagong

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3479/2023/874 Dated: November 02, 2023 based on which the hearing was conducted on November 26, 2023.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter dated November 22, 2023 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/ obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Md. Sultan Salah Uddin

Deputy Director (Enforcement)

IP PABX: 88-02-09609-100600, Ext- 1220

E-mail: ssuddin@sec.gov.bd

### Copy for information:

- 1. PS to the Honorable Chairman, BSEC
- 2. P.O to Commissioner (Enforcement), BSEC
- 3. P.O to Executive Director (SMMID) [Ref: BSEC/SMMID/CCA/DSE/2023/666 dated September 27, 2023]
- 4. P.O to Executive Director (MIS), BSEC
- 5. P.O to Executive Director (Enforcement), BSEC
- 6. P.O to Director (Enforcement), BSEC.