

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3554/2024/469

Dated: May 14, 2024

By Special Messenger

01. **Beximco Securities Limited (DSE TREC # 178)**, House # 19/B, Road # 01, Dhanmondi R/A, Dhaka-1205.
02. **Mr. K. M. Sharif Ahmed, ACMA**, Compliance Officer of Beximco Securities Limited, House # 19/B, Road # 01, Dhanmondi R/A, Dhaka-1205.
03. **Mir Saydur Rahman, Accounts In-Charge of Beximco Securities Limited (DSE TREC # 178)**, House # 19/B, Road # 01, Dhanmondi R/A, Dhaka-1205.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3554/2024/359 dated 22 April 2024 based on which the hearing was conducted on 12 May 2024.

The Commission, considering your explanation vide letter ref. nill dated 09.05.2024 has decided to dispose of the proceedings against Beximco Securities Limited (DSE TREC # 178) and the concerned officers of Beximco Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


19-05-2024

Rubel Hossain
Assistant Director (Enforcement)
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Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; with reference to DSE letter no. DSE/MCD/BSEC/2023/019/6505 dated 13th November 2023.
- ✓ 3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange PLC.