

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3298/2022/ 799

By Special Messenger

Dated: May 29, 2022

Beximco Securities Limited
(DSE TREC No. #178)
House No.19/B, Road #01,
Dhanmondi R/A, Dhaka-1205.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3298/2022/668 dated April 25, 2022 based on which the hearing was conducted on May 19, 2022.

The Commission, considering your explanation vide letter dated 18.05.2022 has decided to dispose of the proceedings against Beximco Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

29.05.2022

Md. Mahmudul Hasan
Assistant Director-v (Enforcement)
Mobile: 01515292195
Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.