

Bangladesh Securities and Exchange Commission

“মুজিববর্ষের অঙ্গীকার
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”

BSEC/Enforcement/3016/2021/৭৪০
July 04, 2022

By Special Messenger

Bishwajit Das
House # 28, Road # 9/A, Flat # 3E
Dhanmondi R/A, Dhaka-1209

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3016/2021-656 dated September 19, 2021 based on which the hearing was conducted on October 11, 2021.

The Commission, considering the explanation vide letter No. dated October 10, 2021 submitted at the time of hearing, has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md. Yusuf Bhuiyan
Additional Director (Enforcement)
Phone: 55007139
e-mail: yusuf@sec.gov.bd

Copy for information:

Chairman's office, BSEC
P.O to Commissioner (Enforcement), BSEC
P.O to Executive Director (SRMIC), BSEC
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