



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3138/2021/834
Dated: November 01, 2023

Bluechip Securities Ltd.
Room # 707
Modhumita Building (6th Floor, North Side)
158-160, Motijheel C/A
Dhaka-1000.


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3138/2021/834 dated June 14, 2023 based on which the hearing was conducted on June 26, 2023.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter ref. BCL/BSEC-Enforcement/06/2022/051 dated 26 June 2022 has decided to dispose of the matter against Bluechip Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


01-11-2023

Rubel Hossain
Assistant Director (Enforcement)
E-mail: rubel@sec.gov.bd
Mobile : 01922-151361

Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; with reference to DSE letter no. DSE/2021/IED/ dated 27 June 2021.
- ✓ 3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.