



Bangladesh Securities and Exchange Commission

~~BSEC/Enforcement/2633/2018/22~~

Dated: January 03, 2022

By Special Messenger

Bluechip Securities Limited
(DSE TREC Holder # 047)
Room Number # 707
Modhumita Building (6th Floor, North Side)
158-160, Motijheel C/A
Dhaka-1000.

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9-1-22
Dn (MIS)

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2633/2018/1158 dated December 14, 2021 based on which the hearing was conducted on December 29, 2021.

The Commission, considering your explanation vide letter dated 29.12.2021 has decided to dispose of the proceedings against Bluechip Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

04.01.2022
Md. Mahmudul Hasan
Assistant Director-v (Enforcement)
Mobile: 01515292195
Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.