



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3516/2023/1096
Dated: December 14, 2023

By Special Messenger

Buriganga Equity Management Ltd.
(DSE TREC NO# 211)
Room No. 211, DSE Building,
9/F, Motijheel C/A, Dhaka-1000.

Attention: Managing Director/CEO/Alleged Person

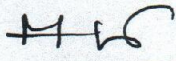
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3516/2023/1057 dated December 04, 2023 based on which the hearing was conducted on December 10, 2023.

The Commission, considering your adjustment of irregularities vide letter dated 09.12.2023 has decided to dispose of the proceedings against Buriganga Equity Management Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


14.12.2023

Md. Mahmudul Hasan
Assistant Director (Enforcement)
Mobile: 01515292195
Email: mahmudulhasan@sec.gov.bd
Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SMMID), BSEC. Inter Divisional Memo no. BSEC/IEID/Inspection-Part-II/2023/39/180 dated October 31, 2023.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.