



# বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

জীবন বীমা টাওয়ার (১৫, ১৬, ১৭ ও ২১তম তলা), ১০ দিলকুশা বা/এ, ঢাকা-১০০০, বাংলাদেশ।

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2142/2014/376  
September 24, 2018

By Special Messenger

CAPM (Capital & Asset Portfolio Management) Company Limited  
Safura Tower (5th Floor)  
20 Kamal Ataturk Avenue  
Motijheel C/A Dhaka-1000

**Attn: Managing Director/CEO**

**Subject: Warning to ensure compliance of all securities related laws in future**

Dear Sir,

This refers to BSEC's show cause-cum-hearing notice no. BSEC/Enforcement/2142/2014/162 dated April 12, 2018 and the accused's explanation letter no. NIL dated May 07, 2018 submitted to the Commission.

The Commission considering the accused's explanation, has decided to dispose off the proceedings against them by placing on record the Commission's dissatisfaction on the defaults/contraventions made by them with a warning to ensure compliance of all securities related laws in future.

This disposal does not absolve CAPM (Capital & Asset Portfolio Management) Company Limited, its Directors, Managing Director and Company Secretary from their lawful responsibilities/obligations to any person, if affected as a result of the aforesaid default.

For Bangladesh Securities and Exchange Commission

Mustari Jahan  
Deputy Director (Enforcement)  
**Phone : 55007137**  
**Email : mustari@sec.gov.bd**

Distribution:

Managing Director, Dhaka Stock Exchange Limited  
Managing Director, Chittagong Stock Exchange Limited

Copy for information:

P.O to Commissioner (Enforcement), BSEC  
P.O to Executive Director (SRMIC), BSEC  
✓ P.O to Executive Director (MIS), BSEC  
Chairman's office, BSEC