

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3855/2024/106
Dated: 16 January, 2025

By Special Messenger

01. CMSL Securities Limited

(DSE TREC No. 113)
Suite # 702 (7th Floor)
28, Dilkusha C/A, Dhaka-1000

02. Mr. Anwar Hossain

CEO and Compliance Authority
CMSL Securities Limited.

03. Mr. Nur Nabi

In-Charge of Agrabad, Chattogram Branch
CMSL Securities Limited

Attention: **Managing Director**

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3855/2024/1136, Date: December 23, 2024 based on which the hearing was conducted on January 06, 2025.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter dated January 06, 2025 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

17/01/2025

Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. PO to Commissioner (Enforcement), BSEC
3. PO to Executive Director (MIS)
4. PO to Executive Director (MIAD) with reference to DSE letter No: DSE/MCD/BSEC/2024/3215, dated 26 May, 2024
5. PO to Director (Enforcement), BSEC
6. Managing Director, Dhaka Stock Exchange PLC