



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/387/2005/483
Dated: 22 June, 2023

By Special Messenger

01. CMSL Securities Limited

(TREC no. DSE 113)
Suite # 702 (7th Floor)
28, Dilkusha C/A, Dhaka-1000.

02. Mr. Kazal Alam Khan

Compliance Officer
CMSL Securities Limited

03. Mr. Mohammad Shamsul Alam

Accounts Officer
CMSL Securities Limited

Subject: Non-compliance of securities related laws: Disposal of the alleged matter.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/387/2005/420 dated 17 May, 2023 based on which the hearing was conducted on 18 June, 2023.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations letter dated 18 June, 2023 which was submitted at the time of hearing, has disposed of the proceedings against you.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

R2
22.6.23

Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy to:

1. Managing Director, Dhaka Stock Exchange Limited
2. P. O. to Executive Director (MIAD), BSEC
3. P. O. to Executive Director (ICT), BSEC
4. Chairman's Office, BSEC

26.6.23

D/MISJ

26.06.23