



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3404/2023/685

Date: 17 September, 2023

By Courier Service

1. Capitec PPF SUF

(BOID: 1604580066440664)

Padma Life Tower, 10th Floor

115, Kazi Nazrul Islam Avenue, Bangla motor, Dhaka.

2. Capitec-Ibbl Shariah Unit Fund

(BOID: 1604580073395731)

Padma Life Tower, 10th Floor

115, Kazi Nazrul Islam Avenue, Bangla motor Dhaka.

3. Capitec PLUF

(BOID: 1604580068872341)

Padma Life Tower, 10th Floor

115, Kazi Nazrul Islam Avenue, Bangla motor Dhaka.

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3404/2023/542, Date: 31 July, 2023 based on which the hearing was conducted on 27 August, 2023.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter Ref: CAM/MF/2023/358, dated: 24 August, 2023 which was submitted at the time of hearing, has decided to dispose of the matter against you (Capitec PPF SUF, Capitec-Ibbl Shariah Unit Fund and Capitec PLUF) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

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17.9.2023

Rabiul Islam

Assistant Director (Enforcement)

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Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.