

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3283/2022/139
Dated: February 11, 2024

Mr. Md. Sajib Hossian

Independent Director (Former) and a member of Regulatory Affairs Committee (RAC)
Chittagong Stock Exchange PLC
CSE Building, 1080, Sk. Mujib Road Agrabad
Chittagong, Bangladesh

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3283/2022/1007 Dated: November 09, 2023 based on which the hearing was conducted on December 03, 2023.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter dated November 27, 2023 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/ contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/ obligations to any person, if affected, as a result of the default.

By order of the Bangladesh Securities and Exchange Commission,


11.02.2024

Md. Sultan Salah Uddin
Deputy Director (Enforcement)
PABX: 88-02-55007131-2, Ext- 1306
E-mail: ssuddin@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC.
2. P.O. to Commissioner (Enforcement), BSEC.
3. P.O to Executive Director (Surveillance) [Ref: BSEC/Surveillance/2020-949(Vol-3)]
- ✓ 4. P.O to Executive Director (MIS).
5. P.O. to Director (Enforcement), BSEC.