

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3180/2021/1318

By Special Messenger

December 30, 2021

Chowdhury Securities Limited  
(CSE TREC No.073)  
3/F, Chowdhury Centre 23-KA  
New Eskaton Road, Dhaka-1000  
**Attention:** Managing Director/CEO

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3180/2021/1091 dated December 08, 2021 based on which the hearing was conducted on December 20, 2021.

The Commission, considering your explanation vide letter dated December 20, 2021 has decided to dispose of the proceedings against Chowdhury Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen  
30.12.21

Mahmuda Shireen  
Deputy Director (Enforcement)  
E-mail: [shireen@sec.gov.bd](mailto:shireen@sec.gov.bd)

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03.01.22  
Dir (MIS)

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Chittagong Stock Exchange Limited