

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3886/2025/১৭০
Date: August 14, 2025

By Courier Service

City Bank Capital Resources Limited
Shanta Western Tower, Level-14
Office Space-02, Bir Uttam Mir Shawkat Road
186, Tejgaon I/A, Dhaka-1208.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/3886/2025/354 dated 13 March 2025 and reschedule notice no. BSEC/Enforcement/3886/2025/532 dated 13 April 2025 and no. BSEC/Enforcement/3886/2025/677 dated 13 May 2025 based on which the hearing was conducted on 02 June 2025.

Subsequently, you have submitted your replies vide letter dated 02 June 2025, where you mentioned your compliance status.

The Commission, considering your degree of non-compliances, has decided to warn you to be careful in future and also suggested to be cautious about maintaining due diligence related to securities related laws. Any divergence of securities related laws and failing of lawful responsibilities will be taken seriously whereas you will be brought under appropriate enforcement action.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

By order of the Bangladesh Securities and Exchange Commission,


19-08-2025

Rubel Hossain
Assistant Director (Enforcement)

Copy for information:

1. Chairman Office, BSEC.
2. P.O. to all Commissioner (Enforcement), BSEC.
3. PO to Executive Director (MIAD), BSEC; with reference to Commission's inspection Order No. BSEC/IEID/Inspection-2023-12(Part-1)/463 dated 17 October 2024.
4. PO to Executive Director (ICTD), BSEC.