

BSEC/Enforcement/2611/2018 / 432

Dated: 18 July, 2021

By Special Messenger

City Brokerage Limited
(DSE TREC Holder No. 145)
City Centre, Unit # 12A & 12B (12th floor)
Level-13, 90/1
Motijheel C/A, Dhaka-1000

Attention: Managing Director/CEO

Subject: Non-compliance of securities related laws: Disposal of the alleged matter.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement /2611/2018/339 dated May 24, 2021 based on which the hearing was conducted on 27.06.2021.

The Commission, considering your explanation vide letter ref. No. CBL/ICC/2021/06-0463 dated 24 June, 2021 has decided to dispose of the matter of City Brokerage Limited.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Mohammad Al Masum Mirdha
Additional Director (Enforcement)
Phone : 55007147
Email: almasum@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.