



# বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

জীবন বীমা টাওয়ার (১৫, ১৬, ১৭ ও ২১তম তলা), ১০ দিলকুশা বা/এ, ঢাকা-১০০০, বাংলাদেশ।

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাও, শেরে বাংলা নগর, ঢাকা

BSEC/Enforcement/2611/2018/৩৬৭

Dated: 21 October, 2018

City Brokerage Limited  
(DSE TREC holder No. 145)  
City Centre, Unit # 12A & 12B (12<sup>th</sup> floor)  
Level-13, 90/1  
Motijheel C/A, Dhaka-1000.

**Attention:** Managing Director/CEO

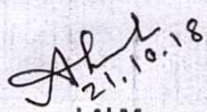
**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2611/2018/369 dated September 23, 2018 based on which the hearing was conducted on October 10, 2018.

The Commission, considering your explanation vide letter No. CBL/ICC/2018/10-1155 dated 09.10.18 has decided to dispose of the proceedings against City Brokerage Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future!


Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


  
Mohammad Al Masum Mirdha  
Deputy Director (Enforcement)  
Phone : 55007147

Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
- ✓ 4. PO to Executive Director (MIS), BSEC

  
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Mr. GOUR, AD