



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enf/ 3310/2022/ 487

Dated: June 25, 2023

By Courier Service

Cogent Bangladesh Limited

Client Code # 1409

NRBC Bank Securities Limited

Hadi Mansion (3rd Floor, South Side), 2, Dilkusha C/A

Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to Ensure Compliance of All Securities Related Laws in Future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enf/3310/2022/381 dated May 10, 2022 based on which the hearing was scheduled and conducted on May 28, 2023.

The Commission, considering the explanation vide letter No. Nil dated 28/05/2023 which was submitted at the time of hearing, has decided to dispose of the proceedings against Cogent Bangladesh Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Muhammad Sadequr Rahman Bhuiyan
Assistant Director (Enforcement)

Copy for information:

Chairman's Office, BSEC

Executive Director (MSI), BSEC

Executive Director (MIS), BSEC

Managing Director, Dhaka Stock Exchange Ltd.