

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

BSEC/Enforcement/ 3893/2025/1255
Dated: November 11, 2025

By Special Messenger

Commerce Bank Securities and Investment Ltd. (DSE TREC# 180)
Taranga Complex, 19 Rajuk Avenue, Motijheel C/A
Dhaka-1000

Subject: Warning to Ensure Compliance with All Securities-Related Laws in the Future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3893/2025/520 dated April 8, 2025, based on which the hearing was scheduled and conducted on April 21, 2025.

The Commission, considering the explanation vide letter no. CBSIL/HO/2025/291 dated April 21, 2025, which was submitted at the hearing, has decided to **warn** you to be careful in the future and also suggested that you be cautious about maintaining due diligence related to securities-related laws. Any divergence of securities-related laws and failure to fulfill lawful responsibilities will be taken seriously, and you will be brought under appropriate enforcement action.

You are hereby advised to follow up on the individual job responsibilities of the employee and hold them responsible for the lapse found in the above-mentioned show-cause letter.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to be effected as a result of the default.

For the Bangladesh Securities and Exchange Commission,



Muhammad Sadequr Rahman Bhuiyan
Assistant Director (Enforcement)

Copy for information:

Chairman's Office, BSEC
Executive Director (MIAD), BSEC
Executive Director (ICTD), BSEC
Managing Director, Dhaka Stock Exchange Ltd.