

†KwWqj wmwKDwi wJR wj wgtUW
wGmB m` m` bs-113
di`K †Pwfi (5g Zj v)
1403, †kL gwRe tiwW
PUMög-4100|

welq: Avf` k|

Kwgk†bi 28 GwCj 2011 Zwi †Li Avf` k bs GmBwm/Gbtdvmfg>U/905/2011/264 Gi mZ`wqZ
Abyj wC Avcbvi AeMwZi I c†qRbxq e`e`v M†bi Rb` GZ` †ns†M mshy³ Kiv n†j v|

wmwKDwi wJR I G· †PÄ Kwgk†bi c†¶|

gxi tgvkvi i d tnv†mb †Pšaj x
cwi Pvj K

wEZi bt

cÖb wbeaxKgSZ©PAMÖ óK G· †PÄ wj wgtUW

AeMwZi Rb` Abyj wC:

- 1| wbe†nx cwi Pvj K, mvi †FBj `vY, GmBwm
- 2| wbe†nx cwi Pvj K, AvBb, GmBwm
- 2| wbe†nx cwi Pvj K, GgAvBGm, GmBwm
- 4| cwi Pvj K, GmAvi AvB / Avi GÜ wW, GmBwm
- 5| †Pqvi g`vb g†nv` †qi `Bi, GmBwm

Avt`k

thtnZi Kigkb, tkwWqj wmkDwiUR wj wgtUW (wmGmB m`m` bs-113) tk Zvi Avte` bmtg, wbaWi Z kZaxtb wmkDwiUR μq weμq KgRvE cwi Pjv bv Kivi Rb` wmkDwiUR I G. tPA Kigkb (AZ:ci Okigkb0 etj Dij w-Z) wmkDwiUR I G. tPA Kigkb AvBb, 1993 (1993 m`bi 15 bs AvBb) Gi 10(1) G c0 E qgZvetj wmkDwiUR I G. tPA Kigkb (-K-wjvi, -K-tetKvi I Abtgmw` Z c0Zibwa) weagjv, 2000 Gi wea 5(5) mn cwZ -KtetKvi/wjvi tiwRtokb mwUdtkU c0vb Kti tQ;

thtnZi Kigkb Gi Avt`k bs SEC/SRI/CSE-MEM/314 dated November 30, 2010 Gi gva`tg tkwWqj wmkDwiUR wj wgtUW G cwi`kRbi Rb` GKwU KigwU MVb Kti Ges D³ cwi`kR KigwUj `wLj KZ c0Zte`b Abhvqx findings and contraventions wba`c:

“The inspecting officers checked the Major Clients portfolio account No. 16, C-118, C141, 142, C2154, C143, C144, C225 and C910. It was found that some of the cases, they have given netting facilities to buy/sell of non-margin securities. Details of Non-Margin shares are given below:

Client Nos.	Code	Name of the Non-margin instruments	Nos. of shares (Buy)	Client Ledger balance	Date of Buy
C1213		Aisa Insurance	500	-421886.49	28/11/2010
347		Continental Insurance	100	-1041974.56	25/11/2010
1019		Bd Finance	1000	-791237.34	02/12/2010
C118		Dhaka Dyeing	6000	-628039.63	22/11/2010
		Continental Insurance	1000	-94514.03	22/11/2010
C141		Dhaka Dyeing	8000	-714926.67	22/11/2010
		Bd Finance	1000	-266102.33	05/12/2010
		Bd Finance	500	-257403.13	08/12/2010
C910		Asia Insurance	3000	-163123.60	23/11/2010
		RN spinning	2000	-572599.16	08/12/2010
		BIFC	350	-432073.26	02/12/2010
		BIFC	200	-664560.76	12/12/2010

Contravention: Through the aforesaid activities it appears that Cordial Securities Limited (CSE Member # 113) violated the Commission Directive No.SEC/CMRRCD/2009-193/63 dated September 06, 2010 issued under section 20A of the Securities and Exchange Ordinance, 1969.”

thtnZi Kigkb Dcti wj w-Z c0Zte`b Abhvqx wmkDwiUR AvBb cwi cyj tb e`_Zvi `i`b tkwWqj wmkDwiUR wj wgtUW Gi

