

## Bangladesh Securities and Exchange Commission

**BSEC/Enforcement/3309/2022/880**

**By Special Messenger**

June 16, 2022

Cosmopolitan Equities Limited  
(DSE TREC holder no.146)  
Summit Centre (6th Floor, East Side)  
18, Karwan Bazar C/A, Dhaka-1215

**Attention:** Managing Director/CEO

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3309/2022/772 dated May 23, 2022 based on which the hearing was conducted on June 05, 2022.

The Commission, considering your explanation vide letter dated June 05, 2022 has decided to dispose of the proceedings against Cosmopolitan Equities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

*Mahmuda Shireen*

16.06.22

**Mahmuda Shireen**

Deputy Director (Enforcement)

E-mail: [shireen@sec.gov.bd](mailto:shireen@sec.gov.bd)

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited