



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
সিকিউরিটিজ কমিশন ভবন
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭
www.sec.gov.bd



BSEC/Enforcement/2848/2019-107

Date: February 10, 2021

By Courier Service

Managing Director/CEO
Cosmopolitan Finance Ltd.
Summit Centre
18 Karwan Bazar, Dhaka-1215


Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice No. **BSEC/Enforcement/2848/2019-71** dated January 26, 2020 based on which the hearing has been conducted on February 05, 2020.

The Commission, considering Cosmopolitan Finance Ltd.'s apology and written explanation which was submitted at the time of hearing, has decided to dispose off the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **Warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you and any other who involved from their lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

 10.02.21

Md. Rafiqunnabi
Assistant Director
e-mail- rafiq@sec.gov.bd

Copy for information:

1. Honorable Chairman's Office, BSEC
2. Commissioner (Enforcement), BSEC
3. Executive Director (MIS), BSEC
4. Office file

“মুজিববর্ষের অঙ্গীকার
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”