



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

**BSEC/Enforcement/2833/2019/1092**  
**Dated: December 14, 2021**

**By Special Messenger**

D.N. Securities Limited  
(CSE TREC Holder No# 089)  
BM Heights (8<sup>th</sup> Floor), 318 Sk. Mujib Road  
Badamtali, Agrabad, Chattogram-4100, Bangladesh.

**Attention:** Managing Director/CEO/Alleged Person

**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2833/2019/800 dated October 22, 2023 based on which the hearing was conducted on November 12, 2023.

The Commission, considering your adjustment of irregularities vide letter dated 12.11.2023 has decided to dispose of the proceedings against D.N. Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

14.12.2023

**Md. Mahmudul Hasan**  
Assistant Director (Enforcement)  
Mobile: 01515292195  
Email: mahmudulhasan@sec.gov.bd  
Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SMMID), BSEC. In reference to inspection report dated December 07, 2022
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Chittagong Stock Exchange Plc. In reference to inspection report dated December 07, 2022