

SEC/Enforcement/1105/2013/195

Dated: April 30, 2014

DBL Securities Ltd

DSE TREC No. 193

Adamjee Court (1st Floor)

115-120, Motijheel C/A

Dhaka-1000

Phone: 7116670, 7124715, Fax: 02-9570132

By Special Messenger

Attention: Managing Director/CEO

Subject: Reject of prayer for waiver of penalty.

This refers to your prayer vide letter no # DBSL/HO/ICC-801/2013/1500 dated 11.12.2013 and Commission's Penalty Order No. SEC/Enforcement/1105/2013/560 dated October 07, 2013.

The Commission has considered your request as review petition under section 26 of Securities and Exchange Ordinance, 1969 and rejected your review petition regarding above mentioned penalty Order as you have failed to show any acceptable ground and the Commission has decided to uphold it's earlier decision of penalty.

For Bangladesh Securities and Exchange Commission,

Abul Kalam Azad

Deputy Director (Enforcement)

Phone: 9573914

Copy for information:

1. PS to the Honorable Chairman, BSEC.
2. PO to the Commissioner (Enforcement), BSEC.
3. PO to Executive Director (SRI), BSEC.
4. PO to Executive Director (MIS), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.