



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2834/2019/ 577

Dated: November 17, 2019

**By Special Messenger**

DBL Securities Limited  
(DSE TREC holder no. 193)  
115-120, Adamjee Court( 1st Floor),  
Motijheel C/A,  
Dhaka-1000.

**Attention:** Managing Director/CEO

**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/2834/2019/456 dated September 22, 2019 based on which the hearing was conducted on October 21, 2019.

The Commission, considering your explanation vide letter no. DBSL/HO/ICC-801/2019/1648 dated 20.10.2019 has decided to dispose of the proceedings against DBL Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

  
**Mohammad Al Masum Mirdha**  
Deputy Director (Enforcement)  
Phone : 55007147  
Email: almasum@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
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