



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2028/2013/431

Dated: May 18, 2023

DMR Securities Services Limited
(DSE TREC # 014)
Room # 214, Stock Exchange Building
9/F, Motijheel C/A, Dhaka-1000.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2028/2013/683 dated April 27, 2022 based on which the hearing was conducted on May 29, 2022.

The Commission, considering your explanation letter no. Nill dated 29.05.2022 has decided to dispose of the proceedings against DMR Securities Services Limited (DSE TREC # 14) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

18-05-2023

Rubel Hossain
Assistant Director (Enforcement)
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Copy for information:

1. PO to Executive Director (MSI), BSEC.
2. PO to Executive Director (MIS), BSEC.
3. PS to Chairman, BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.