



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ।

BSEC/Enforcement/2661/2018/1220
Dated: September 19, 2022

DMR Securities Services Ltd.
(TREC Holder #014)
Room # 214, Stock Exchange Building
9/F, Motijheel C/A, Dhaka-1000

MIS

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice no. BSEC/Enforcement/2661/2018/758 Dated May 22, 2022 based on which the hearing was conducted on June 05, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter dated August 11, 2022, has decided to dispose of the matter against DMR Securities Services Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Mohammed Abu Hena Mostafa
Assistant Director (Enforcement)
Phone: 01816-243634
Email: mostafaanik@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O to Executive Director (SRI), BSEC
3. P.O to Executive Director (MIS)
4. M.D, DSE

21.9.2022

① (MIS)

DD-I(MIS) 21.09.2022